

## Online Seminar

---

# International Banks in Germany 2023

---

- Annual Audits 2023: Key Issues and Conclusions  
Kay Böhm and Benjamin Münch | PwC
  - BaFin's Supervisory Practice and Strategies with Regard to Fines and Findings – What to Do About It?  
Alexander Gebhard, LL.M. | Schalast
  - Developments of the Regulatory Requirements of Outsourcing and Digital Operational Resilience (DORA)  
Dr. Anna Izzo-Wagner and Josefine Spengler | Annerton
  - The Upcoming MaRisk Amendments in 2023  
Alexander Kottmann | PwC
  - CRD VI and CRR III: Recent Developments of EU Banking Legislation  
Holger Hartenfels and Dr. Janina Heinz | Freshfields Bruckhaus Deringer
  - Current HR Topics for Banks: Working Time, Mobile Working and Diversity  
Katrin Scheicht | Pusch Wahlig Workplace Law
- 

### Speakers

Further information on the speakers is provided [here](#).

### Moderation

Wolfgang Vahldiek | Association of Foreign Banks in Germany

---

**Tuesday, 06.06.2023, 09:00 a.m. – 04:30 p.m.**

### Online Event

The access link will be sent to the participants  
on the day of the seminar 30 minutes before the start.

---

---

## About the Seminar

This year's edition of "International Banks in Germany" focuses on BaFin's supervisory practice, audits, risk management and current regulatory developments.

First, we will take a look at the current annual audit season 2023 and analyse the insights, key issues and findings from an auditor's perspective. In this context and against this background, we will discuss the supervisory practice as regards findings and fines and how institutions might deal with situations of possible interventions.

Of course, the upcoming amendments to the Minimum Requirements for Risk Management (MaRisk) is also high on the agenda, as well as the implementation of new outsourcing and IT requirements in the context of the EU laws on Digital Operational Resilience (DORA). Furthermore, we will take a look at the upcoming amendments to the CRD and CRR, specifically focusing on recent developments in ESG and cross-border topics, and other relevant changes. In addition, three hot topics in HR require management attention at the moment, namely the new working time regulation, mobile working and diversity requirements, which we will also cover.

The seminar is designed for management, executive staff and experts in the fields of risk management, controlling and auditing, as well as all those interested in banking supervision topics.

---

## Registration

Registration is possible via the Association's [homepage](#). **Deadline for registration: 4 June 2023**

---

## Terms and Conditions

Participation fee:

**EUR 490** plus 19% VAT [for [members](#)]

**EUR 590** plus 19% VAT [for other participants]

This includes online participation on the event date, same as online access to the electronic conference documents and the recordings afterwards. The online access is available for one months after the event.

After receipt of the registration a confirmation will be sent. Cancellation free of charge is possible until 14 days before the event. After that, a processing fee of EUR 119 plus 19% VAT will be charged. In case of non-participation in the seminar, the entire participation fee will be charged. Representation of the registered participant is possible without additional costs. In principle, only the entire event and not individual parts of it can be booked. Programme is subject to change.

---

## Agenda

### 09:00 a.m. to 09:10 a.m.: Digital Onboarding

#### 09:10 a.m. to 09:15 a.m.: Welcome

09:15 a.m. to 10:00 a.m.

#### **Annual Audits 2023: Key Issues and Conclusions**

Kay Böhm and Benjamin Münch | PwC

- Background and main focus of the audits of the 2022 financial year
- Insights and interesting aspects from an auditor's perspective
- Business organisation, credit, trading, risk management, reporting, AML and other areas of concern
- Conclusions and outlook on the following audit seasons

### 10:00 a.m. to 10:15 a.m.: Short Break

10:15 a.m. to 11:00 a.m.

#### **BaFin's Supervisory Practice and Strategies with Regard to Fines and Findings – What to Do About It?**

Dr. Alexander Gebhard | Schalast

- The German supervisory sanctioning proceedings: Introduction and background
- Recent developments in the BaFin's approach
- Dos and don'ts: Dealing with errors or findings relevant for fines
- Good communication with the supervisor: The way forward

### 11:00 a.m. to 11:15 a.m.: Short Break

11:15 a.m. to 12:00 p.m.

#### **Developments of the Regulatory Requirements for Outsourcing and Digital Operational Resilience (DORA)**

Dr. Anna Izzo-Wagner and Josefine Spengler | Annerton

- The common thread of outsourcing requirements in MaRisk, BAIT and DORA
- Recent developments and upcoming changes in best practices and regulatory framework
- Guidance for DORA implementation projects – and possible causes of error
- Supervisory expectations
- What comes next?



### **12:00 p.m. to 01:30 p.m.: Lunch Break**

01:30 p.m. to 02:30 p.m.

#### **The Upcoming MaRisk Amendments in 2023**

Alexander Kottmann | PwC

- Current status of the planned amendments of MaRisk
- Key area I: Implementation of EBA guidelines on loan origination and monitoring
- Key area II: ESG risk management – the “final draft”
- Other relevant new provisions of the draft
- Outlook and implementation deadlines

### **02:30 p.m. to 02:45 p.m.: Short Break**

02:45 p.m. to 03:30 p.m.

#### **CRD VI and CRR III: Recent Developments of EU Banking Legislation**

Holger Hartenfels and Dr. Janina Heinz | Freshfields Bruckhaus Deringer

- Key areas of regulation of CRD VI and CRR III and main impacts
- Capital requirements under the standardised approach
- ESG criteria in CRR III: The current debate
- Third country branches and cross-border services
- Timetable and implementation schedule

### **03:30 p.m. to 03:45 p.m.: Short Break**

03:45 p.m. to 04:30 p.m.

#### **Current HR Topics for Banks: Working Time, Mobile Working and Diversity**

Katrin Scheicht | Pusch Wahlig Workplace Law

- Status quo of the recent developments
- Possible effects of the draft Working Time Act (*Arbeitszeitgesetz*) in the financial industry
- The challenges for employers and employees regarding mobile working
- The legal framework for diversity policies
- Wrap-up and outlook

### **04:30 p.m.: Closing Remarks**