

Online Seminar in English

Foreign Banks in Germany 2022

- **CRR III: Upcoming Changes to the Credit Risk Standardised Approach and the New Operational Risk Framework**
Dr. Gil Opher | Deloitte GmbH Wirtschaftsprüfungsgesellschaft
 - **Special Audits („Sonderprüfungen“ acc. to Sec. 44 KWG)**
Roland Scheinert and Benjamin Münch | PricewaterhouseCoopers GmbH Wirtschaftsprüfungsgesellschaft
 - **Recent Amendments to Remuneration Rules for Managers and Risk Takers**
Dr. Peter Gumnior and Yukiko Hitzelberger-Kijima | Linklaters LLP
 - **Sanctions - Management Summary and Best Practices**
Dr. Alexander Cappel | Norton Rose Fulbright LLP
 - **Internal Audit and Internal Control System: Challenges 2022/23**
Thorsten Janker | Mazars GmbH & Co. KG
 - **SREP: Avoiding Capital Add-ons for Governance Shortcomings (on video)**
Steffen Laufenberg | EY
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Speakers

Further information on the speakers is provided [here](#).

Moderation

Wolfgang Vahldiek | Association of Foreign Banks in Germany

Tuesday, 12.07.2022, 09:10 a.m. – 04:15 p.m.

Online Event

The access link will be sent to the participants on the day of the seminar 30 minutes before the start.

About the seminar

This year's edition of "Foreign Banks in Germany" focuses on audits, risk management and current regulatory developments.

First, we will take a look at upcoming changes to the Credit Risk Standardised Approach and the new operational risk framework to be brought about by CRR III. Second, we will analyse the insights, key issues and findings from an auditor's perspective in special audits acc. to Sec. 44 KWG. This analysis is motivated by the rumour that German supervisors currently take an active approach to such audits in 2022 and respective preparation might be sensible for banks. Recent amendments to remuneration rules for managers and risk takers are also high on the agenda, as well as a management summary and best practices with regard to national and international sanctions.

In addition, we will take a close look at the challenges regarding Internal Audit and the internal control system in 2022/23, again from an auditor's perspective. Participants will also have access to a video recording of Steffen Laufenberg's presentation on avoiding capital add-ons for governance shortcomings.

The seminar is designed for management, executive staff and experts in the fields of risk management, controlling and auditing, as well as all those interested in banking supervision topics.

Registration

Registration is possible via the Association's [homepage](#). **Deadline for registration: 10 July 2022**

Terms and Conditions

Participation Fee:

EUR 400 [for [Association members](#)]

EUR 500 [for other participants]

This includes online participation on the event date, same as online access to the electronic conference documents and the recordings afterwards. The online access is available for one month after the event. For participants with a domestic billing address, the fees include 19% VAT.

After receipt of the registration a confirmation will be sent. Cancellation free of charge is possible until 14 days before the event. After that, a processing fee of EUR 119 will be charged. For participants with a domestic billing address, this fee includes 19% VAT. In case of non-participation in the seminar, the entire participation fee will be charged. Representation of the registered participant is possible without additional costs. In principle, only the entire event and not individual parts of it can be booked. Programme is subject to change due to current events.

Agenda

09:10 a.m. to 09:30 a.m.: digital onboarding

09:20 a.m. to 09:30 a.m.: Welcome

09:30 a.m. to 10:15 a.m.

CRR III: Upcoming Changes to the Credit Risk Standardised Approach and the New Operational Risk Framework

Dr. Gil Opher | Deloitte GmbH Wirtschaftsprüfungsgesellschaft

- Overview on CRR III
- Trade finance and off-balance sheet items
- Exposures secured by real estate
- Exposures to institutions and corporates
- Operational risk
- Implementation timeline

10:15 a.m. to 10:30 a.m.: short break

10:30 a.m. to 11:15 a.m.

Special Audits („Sonderprüfungen“ acc. to Sec. 44 KWG)

Roland Scheinert and Benjamin Münch | PricewaterhouseCoopers GmbH
Wirtschaftsprüfungsgesellschaft

- The current supervisors' special audit activity and its background
- Experiences and lessons learned
- How to prepare for a special audit
- Dealing with supervisory comments and findings

11:15 a.m. to 11:30 a.m.: short break

11:30 a.m. to 12:15 p.m.

Recent Amendments to Remuneration Rules for Managers and Risk Takers

Dr. Peter Gumnior and Yukiko Hitzelberger-Kijima | Linklaters LLP

- Background of CRD V and the German remuneration regime
- Effects of the new regulations of CRD V on the national German rules
- Necessary adjustments as regards remuneration systems
- Remuneration systems in a group context

12:15 p.m. to 02:30 p.m.: lunch break



02:30 p.m. to 03:15 p.m.

Sanctions - Management Summary and Best Practices

Dr. Alexander Cappel | Norton Rose Fulbright LLP

- Overview of international sanctions
- Sanctions programs against selected countries (focus on recent Russia sanctions)
- Key trends and potential mitigating measures
- Importance of understanding the business partner and the transaction

03:15 p.m. to 03:30 p.m.: short break

03:30 p.m. to 04:15 p.m.

Internal Audit and Internal Control Systems: Challenges 2022/23

Thorsten Janker | Mazars GmbH & Co. KG

- Introduction and overview
- Internal audit: Best practices and priorities 2022/23
- Internal control system: Requirements and best practices for the design and implementation
- Findings and observations from an auditor's perspective

04:15 p.m.: closing remarks

Video recording – to be made available to participants after the event

SREP: Avoiding Capital Add-ons for Governance Shortcomings

Steffen Laufenberg | EY

- Legal background and recent practical and regulatory developments
- In-depth analysis of possible and relevant shortcomings
- Implications for institutions' dialogue with supervisors