

## Online Seminar

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# Foreign Banks in Germany 2021

This seminar will be held in English

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- **Supervisory Audits 2020 and 2021: Experiences, Key Issues and Findings**  
Marijan Nemet | Deloitte
  - **New Supervisory Requirements for Outsourcing**  
Dr. Janina Heinz | Freshfields Bruckhaus Deringer
  - **Developments in IT Risk Management**  
Dr. Sven H. Schneider | Hengeler Mueller
  - **The Guidelines on Loan Origination and Monitoring**  
Michael Jankowski | Ebner Stolz
  - **ESG and Sustainability Risk Management: What comes next?**  
Dr. Michael Rönnerberg and Dr. Benjamin Bruhn | PwC
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### Speakers

Further information on the speakers are provided [here](#).

### Moderation

Wolfgang Vahldiek | Association of Foreign Banks in Germany

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**Wednesday, 23 June 2021, 9:10 a.m. – 4:15 p.m.**

### Online Event

The access link will be sent to the participants on the day before the event.

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## About the seminar

This year's edition of "Foreign Banks in Germany" focuses on audits and risk management. Of course, the MaRisk amendment plays a major role here as the background.

First, we will take a look at supervisory audits 2020 and 2021 and analyse the experiences, key issues and findings from a statutory auditor's perspective, as well as an outlook on what is to come. The regulation of outsourcing is another major focus for foreign banks, as both BaFin in MaRisk, the EBA in its Outsourcing Guidelines, but also the legislator in the Financial Market Integrity Strengthening Act (FISG) are currently setting new requirements.

In addition, MaRisk, BAIT and the EU plan to improve the operational stability of digital systems in the financial sector will bring new requirements for IT organisation. The EBA guidelines on lending and supervision will have a significant impact on the lending business quite quickly, as they will already be applicable for SIs from the middle of the year and for LSIs after another MaRisk amendment, which has already been announced for late autumn this year. Last but not least, the EBA recently published its view on the management of ESG risks by banks, which may result in tangible requirements in the foreseeable future. The seminar will shed light on all these topics in a practical way.

The seminar is designed for management, executive staff and experts in the fields of risk management, outsourcing management, controlling and auditing, as well as all those interested in banking supervision topics.

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## Registration

Registration is possible via the Association's [homepage](#). **Deadline for registration: 21 June 2021**

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## Terms and Conditions

Participation Fee:

**EUR 380** [for [Association members](#)]

**EUR 480** [for other participants]

This includes online participation on the event date, same as online access to the electronic conference documents and the recordings afterwards. The online access is available for one month after the event. For participants with a domestic billing address, the fees include 19% VAT.

After receipt of the registration a confirmation will be sent. Cancellation free of charge is possible until 14 days before the event. After that, a processing fee of EUR 119 will be charged. For participants with a domestic billing address, this fee includes 19% VAT. In case of non-participation in the seminar, the entire participation fee will be charged. Representation of the registered participant is possible without additional costs. In principle, only the entire event and not individual parts of it can be booked. Programme is subject to change due to current events.

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## Agenda

### 09:10 a.m. to 09:30 a.m.: digital onboarding

#### 09:20 a.m. to 09:30 a.m.: Welcome

09:30 a.m. to 10:15 a.m.

### **Supervisory Audits 2020 and 2021: Experiences, Key Issues and Findings**

Marijan Nemet | Deloitte

- Statutory audit requirements in Germany – An overview
- How to perform an (ex- and internal) audit in a pandemic situation – A field report
- Audit 2020: Regulatory challenges and results – A (personal) summary
- Audit 2021: Regulatory trends and their implication for the (external and internal) audit
- Banks, regulators and auditors – Communication and cooperation are the basis for a successful cooperation and financial stability

### 10:15 a.m. to 10:30 a.m.: Short Break

10:30 a.m. to 11:30 a.m.

### **New Supervisory Requirements for Outsourcing**

Dr. Janina Heinz | Freshfields Bruckhaus Deringer

- Overview of the existing outsourcing framework for credit institutions in Germany
- Overview of the latest developments in the area of outsourcing
- Additional requirements for outsourcing and outsourcing providers under the Act for the Strengthening of the Integrity of the Financial Market (Finanzmarktintegritätsstärkungsgesetz, FISG)
- MaRisk update to transpose the EBA Guidelines on Outsourcing (EBA/GL/2019/02)
- ESMA Cloud Outsourcing Guidelines
- Outsourcing requirements under the EU COM proposal for a Digital Operational Resilience Act (DORA)

### 11:30 a.m. to 11:45 a.m.: Short Break

11:45 a.m. to 12:30 p.m.

### **Developments in IT Risk Management**

Dr. Sven H. Schneider | Hengeler Mueller

- Overview on recent developments in IT and cyber security
- Upcoming changes to the Supervisory Requirements for the IT of Banks (BAIT) and MaRisk
- EU initiative on improving the operational stability of digital system in the financial sector (Digital Operational Resilience Act – DORA)



### **12:30 p.m. to 2:30 p.m.: Lunch Break**

2:30 p.m. to 3:15 p.m.

#### **The Guidelines on Loan Origination and Monitoring**

Michael Jankowski | Ebner Stolz

- Context and scope of the EBA's guidelines
- Internal Governance
- Loan origination procedures
- Pricing
- Valuation of movable and immovable property
- Credit monitoring
- Implementation and proportionality

### **3:15 p.m. to 3:30 p.m.: Short Break**

3:30 p.m. to 4:15 p.m.

#### **ESG and Sustainability Risk Management: What comes next?**

Dr. Michael Rönning and Dr. Benjamin Bruhn | PricewaterhouseCoopers

- Sustainable Finance in risk management: What is it about?
- Relevant European and German regulatory requirements (ECB, EBA, BaFin etc.)
- Implications on the risk management function
- Market observations from ESG implementation projects

### **4:15 p.m.: Closing Discussion**