

## Seminar

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# Foreign Banks in Germany

## This seminar will be held in English

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- Foreign Banks – remarks and outlook from a supervisors point of view  
Dr. Peter Lutz | BaFin
  - The new Banking Package and the future investment firm supervision by the SSM  
Dr. Jochen Seitz | Hogan Lovells International LLP
  - AML – Status quo and what's next  
Dr. Joachim Kaetzler | CMS Hasche Sigle
  - Risk management and Outsourcing  
Alexander Kottmann | PricewaterhouseCoopers GmbH
  - Information Security – An update on current developments  
Prof. Dr. Joachim Schrey | Noerr LLP
  - The German Labour Law – An Update  
Dr. Ines Keitel | Clifford Chance
  - Brexit – Status quo  
Dr. Oliver Wagner | Association of Foreign Banks
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### Moderation

Dr. Oliver Wagner | Association of Foreign Banks in Germany

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**Wednesday, 21 August 2019, 08:30 a.m. – 17:15 p.m.**

DVFA Conference-Center Frankfurt  
Mainzer Landstr. 37-39 | 60329 Frankfurt am Main  
(Entrance: François-Mitterrand-Platz)

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## About the seminar:

The seminar is designed for managing directors, bank's board members and the management personnel. The speakers will give an overview about the current banking and supervisory environment as well as the upcoming regulations. Furthermore, they will go into more detail regarding the important topics like the impact of Brexit, risk management, outsourcing, anti-money laundering and cyber security. Additionally, the BaFin will present a regulatory overview.

## Agenda

### 8:30 am – 8:55 am: Breakfast Reception

### 08:55 am – 09:00 am: Welcome

09:00 – 10:00

### **The new Banking Package and Future Investment firm supervision by the SSM**

Dr. Jochen Seitz | Hogan Lovells International LLP

- The new Banking Package – Overview
- Intermediate Parent Undertaking, IPU
- Large exposures, reporting and disclosure requirements
- Investment firm supervision by the SSM
- Minimum Requirement for Own Funds and Eligible Liabilities

10:00 – 10:45

### **Foreign banks – Remarks and outlook from a supervisory point of view**

Dr. Peter Lutz | BaFin

- Foreign banks – BaFin's expectations
- Foreign Banks – Are there typical frequent findings?
- Preparation for Brexit
- Outlook for the future bank regulation

### 10:45 am - 11:15 am: Coffee Break

11:15 – 12:15

### **The German Labour Law – An Update**

Dr. Ines Keitel | Clifford Chance Deutschland LLP

- New developments in labour law court rulings
- ECJ's decision on working time control
- The new termination law for bankers
- A1 certificates - pure bureaucracy, but necessary



12:15 – 12:45

### **Brexit – Status quo**

Dr. Oliver Wagner | Association of Foreign Banks in Germany

- Status quo – Politics
- Status quo – Supervisors' and Banks' Preparations
- Transition regulation and contract continuity

**12:45 pm- 13:45 pm: Lunch**

13:45 – 14:45

### **AML – Status quo and what's next**

Dr. Joachim Kaetzler | CMS Hasche Sigle

- Implementation of the 5th Anti Money Laundering Directive
- Suspicious transaction reporting
- BaFin's interpretation and implementation guidelines (BaFin AuA)
- Responsibility of the AML officer and the management

14:45 – 15:45

### **Risk management and Outsourcing**

Alexander Kottmann | PricewaterhouseCoopers GmbH

- Supervisory Review and Evaluation Process – New developments
- New risk-bearing capacity concepts
- Interest rate risk in the banking book
- EBA's Outsourcing Guidelines and its implementation
- The upcoming reform of the MaRisk

**15:45 pm - 16:15 pm: Coffee Break**

16:15 – 17:15

### **Information Security – An update on current developments**

Prof. Dr. Joachim Schrey | Noerr LLP

- BaFin: MaRisk, Guidance on cloud computing and BA IT
- EBA Guidelines "Recommendation on cloud outsourcing" and "Guidelines on outsourcing arrangement"
- IT security in light of GDPR
- PSD2 customer authentication

**17:15 pm: Closing Discussion**

## Seminar "Foreign Banks in Germany" on Wednesday, 21 August 2019

Registration form – by fax: +49 69 975850 10

or [registration online](#)

We register:

Title	
First name	
Last name	
Position	
Institution	
Street	
Postal code/City	
Phone	
Email	
Invoice address (if different)	
Invoice via email to	
VAT-number	

Your personal data will be used by us exclusively for the duration of the seminar. This may also include the transfer of your data to the landlord/the seminar hotel. After the seminar your data will be deleted. Our seminar events are based on our [General Terms and Conditions](#). Please also inform yourself about our [data protection declaration](#) and our [data protection information](#).

- I am not a consumer, but act for my company or within the scope of my self-employed professional activity.
- Yes, I would like to be added to the mailing list of the monthly information and informed on further association events.

### Terms and conditions:

Participation Fee:

- EUR 650 [for [Association members](#)]

- EUR 850 [for other participants]

This includes conference documents, catering during breaks and refreshments. For subscribers with a domestic billing address, the fees include 19% VAT. Registrations will be considered in a chronological order. From the third participant per institution we grant a discount, please contact us.

**Deadline for registration: 14 August 2019**

**The registration is binding.** After receipt of the registration a confirmation will be sent. A processing fee of EUR 119 will be charged for cancellations made before the registration deadline. For participants with a domestic billing address, this fee includes 19% VAT. In the case of cancellation after the registration deadline or non-participation, the entire participation fee will be charged. Representation of the registered participant is possible without additional costs. In principle, only the entire event and not individual parts of it can be booked. Programme is subject to change due to current events.

### Responsible for content:

Dr. Oliver Wagner  
Telefon +49 69 975850-0  
[oliver.wagner@vab.de](mailto:oliver.wagner@vab.de)

### Organization:

Julia Balzer  
Telefon +49 69 975850-34  
[julia.balzer@vab.de](mailto:julia.balzer@vab.de)

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Signature of the participant

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company stamp